

# Base fields of csp-rings and cardinal characteristics of the continuum

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$\mathbf{P}$  is the set of all primes,

$\mathbf{N}$  is the set of all positive integers,

$\mathbf{Z}$  is the ring of integers,

$\mathbf{Q}$  is the field of rational numbers,

$\mathbf{R}$  is the real line.

Let  $\chi = (k_p)_{p \in \mathbf{P}}$ , where  $k_p \in \mathbf{N} \cup \{0, \infty\}$  for all  $p$ , and let  $L_\chi = \{p \in \mathbf{P} \mid k_p \neq 0\}$ . We set

$$R_p = \begin{cases} \text{the ring of } p\text{-adic integers} & \text{if } k_p = \infty; \\ \mathbf{Z}/p^{k_p}\mathbf{Z} & \text{if } k_p < \infty. \end{cases}$$

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Let the set  $L = L_\chi$  be infinite. We introduce the notations

$$K_\chi = \prod_{p \in L} R_p, \quad T_\chi = \bigoplus_{p \in L} R_p \subset K_\chi.$$

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Later P. A. Krylov introduced csp-rings as a generalization of rings of pseudorational numbers.

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The field  $R/T_\chi$  as well as every field isomorphic to it is called a *base field* of the csp-ring  $R$ . Every such field (i.e., a field that can be embedded in  $K_\chi/T_\chi$  as a subring) has characteristic 0 and a cardinality not exceeding  $2^{\aleph_0} = \mathfrak{c}$ .



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**Question.** Which fields may serve as base fields of csp-rings?

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Thus every realization theorem for base fields of csp-rings can be considered as a realization theorem for endomorphism rings (in suitable categories).

**Theorem 1.** *If  $\chi$  and  $\varphi$  are characteristics with  $L_\chi = L_\varphi$ , then for every field  $F$  an embedding  $F \rightarrow K_\chi/T_\chi$  exists if and only if an embedding  $F \rightarrow K_\varphi/T_\varphi$  exists.*

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In view of this fact, when studying base fields of csp-rings, we can restrict ourselves to the case when  $\chi$  contains only 0's and 1's:

$$K_L = \prod_{p \in L} \mathbf{Z}_p, \quad T_L = \bigoplus_{p \in L} \mathbf{Z}_p \subset K_L,$$

where  $L$  is an infinite set of primes and  $\mathbf{Z}_p = \mathbf{Z}/p\mathbf{Z}$ .

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**Definition.** An infinite subset  $L \subset \mathbf{P}$  is called *universal* if every nonconstant polynomial from  $\mathbf{Q}[x]$  can be factored in  $\mathbf{Z}_p[x]$  into the product of polynomials of degree 1 for almost all  $p \in L$ .

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**Remark.** The concept of universal set will be unchanged if in its definition we replace  $\mathbf{Z}_p$  with the ring of  $p$ -adic integers or with the  $p$ -adic number field.

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**Theorem 3.** *If  $L$  is a universal set, then the algebraic closure  $\overline{\mathbf{Q}}$  of  $\mathbf{Q}$  can be embedded in  $K_L/T_L$ .*

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Cardinal characteristics of the continuum (which are more commonly used in set theory and topology) turned out to be a powerful tool for the study of base fields of csp-rings.



# Cardinal characteristics of the continuum

Let  $\langle \mathbf{N}^{\mathbf{N}}, \prec \rangle$  be the set of all functions  $\mathbf{N} \rightarrow \mathbf{N}$ , where

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**Definition.** We say that a set  $E \subset \mathbf{N}^{\mathbf{N}}$  is

- *bounded* if there is  $z \in \mathbf{N}^{\mathbf{N}}$  such that  $z' \prec z$  for all  $z' \in E$ ;
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$\mathfrak{b}$  and  $\mathfrak{d}$  have the following properties:

$$\aleph_1 \leq \text{cf}(\mathfrak{b}) = \mathfrak{b} \leq \text{cf}(\mathfrak{d}) \leq \mathfrak{d} \leq \mathfrak{c}.$$

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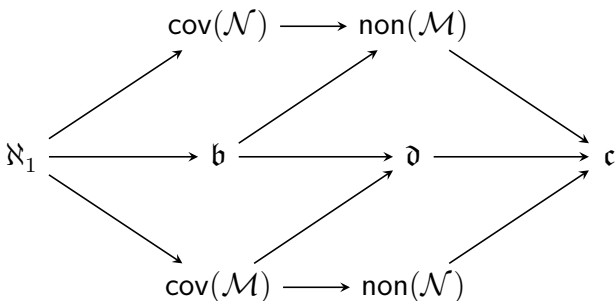
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All inequalities between these cardinal characteristics are summarized in Cichoń's diagram.

## Short version of Cichoń's diagram



(One goes from smaller to larger cardinals by moving along the arrows; all inequalities are non-strict.)

**Remark.** If we assign values  $\aleph_1$  or  $\aleph_2$  to all characteristics from this diagram and such an assignment does not contradict the diagram, then there is a model of ZFC realizing it.

# Algebraically closed fields as base fields

**Theorem 4.** *Let  $K_L/T_L$  contain a subring  $F$  which is an algebraically closed field such that  $|F| < \mathfrak{b}$ . Then the natural inclusion  $F \rightarrow K_L/T_L$  can be extended to an embedding of  $\overline{F(x)}$  into  $K_L/T_L$ , where  $\overline{F(x)}$  is the algebraic closure of the simple transcendental extension  $F(x)$  of  $F$ .*

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**Theorem 5.** *Let  $L$  be a universal set. Then every field  $F$  such that  $|F| \leq \mathfrak{b}$  and  $\text{char } F = 0$  can be embedded in  $K_L/T_L$ .*

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If  $|F| \leq \mathfrak{b}$  and  $\text{char } F = 0$ , then  $F$  can be embedded in  $G$ .

**Theorem 6.** *Suppose  $\mathfrak{b} = \mathfrak{c}$ . Then  $F$  is a base field of some csp-ring if and only if  $|F| \leq \mathfrak{c}$  and  $\text{char } F = 0$ .*

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In particular, the conditions of Theorem 6 are equivalent if we assume the generalized continuum hypothesis, the continuum hypothesis or Martin's axiom since

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**Martin's Axiom.** If  $\mathcal{X}$  is a compact Hausdorff space and there is no uncountable family of pairwise nonintersecting open subsets of  $\mathcal{X}$ , then  $\mathcal{X}$  is not the union of less than  $\mathfrak{c}$  nowhere dense subsets.

# Characteristic $\mathfrak{ie}_L$

$$K_L = \prod_{p \in L} \mathbf{z}_p$$

Let  $b = (b_p)_{p \in L}$  and  $d = (d_p)_{p \in L}$  be elements of  $K_L$ . We write  $b \approx d$  if  $b_p = d_p$  for infinitely many  $p \in L$ .

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We introduce a new characteristic which depends on  $L$ :



## Characteristic $\mathfrak{ie}_L$

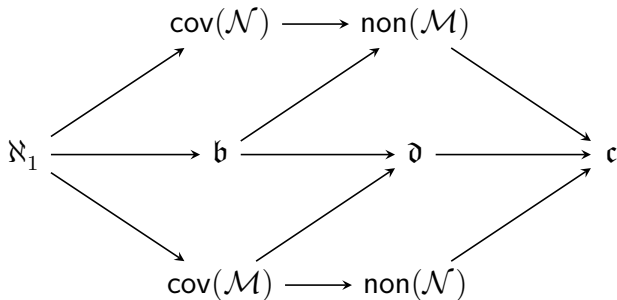
$$K_L = \prod_{p \in L} \mathbf{z}_p$$

Let  $b = (b_p)_{p \in L}$  and  $d = (d_p)_{p \in L}$  be elements of  $K_L$ . We write  $b \approx d$  if  $b_p = d_p$  for infinitely many  $p \in L$ .

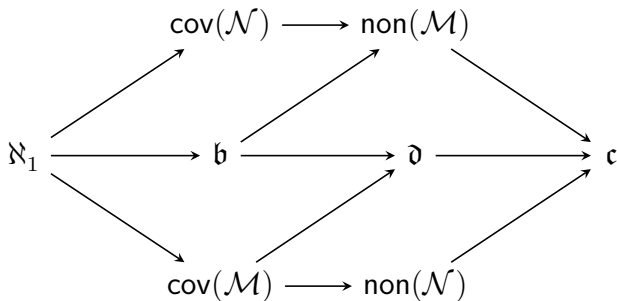
We introduce a new characteristic which depends on  $L$ :

let  $\mathfrak{ie}_L$  (from the words “infinitely equal”) denote the smallest cardinality of a set  $B \subset K_L$  with the following property:

for any  $b \in K_L$  there is  $d \in B$  such that  $b \approx d$ .



1.  $\aleph_1 \leq \mathfrak{ie}_L \leq \text{non}(\mathcal{M})$ .
2. If  $\sum_{p \in L} \frac{1}{p} < \infty$ , then  $\mathfrak{ie}_L \geq \text{cov}(\mathcal{N})$ .
3. (A. Blass) If  $\sum_{p \in L} \frac{1}{p} = \infty$ , then  $\mathfrak{ie}_L \leq \text{non}(\mathcal{N})$ .
4. Martin's axiom implies that  $\mathfrak{ie}_L = \mathfrak{c}$  for every  $L \subset \mathbf{P}$ .



Theorems 7 and 8 are related with the cardinal  $\max(\mathfrak{ie}_L, \mathfrak{b})$  which will appear in Theorem 9.

**Theorem 7.** *If  $\mathfrak{d} = \mathfrak{b}$ , then  $\sup_L \max(\mathfrak{ie}_L, \mathfrak{b}) = \text{non}(\mathcal{M})$ .*

**Theorem 8.** *Each of the following inequalities is consistent with ZFC:*

- (a)  $\mathfrak{ie}_L > \mathfrak{b}$ ;
- (b)  $\mathfrak{ie}_L < \mathfrak{b}$ ;
- (c)  $\max(\mathfrak{ie}_L, \mathfrak{b}) > \max(\mathfrak{ie}_X, \mathfrak{b})$ .



# Purely transcendental extensions of $\mathbb{Q}$

**Theorem 9.** *Suppose  $K_L/T_L$  contains a field  $F$  such that  $|F| < \max(\mathfrak{ie}_L, \mathfrak{b})$ . Then the natural inclusion  $F \rightarrow K_L/T_L$  can be extended to an embedding  $F(x) \rightarrow K_L/T_L$  with  $F(x)$  being the simple transcendental extension of  $F$ .*

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**Theorem 11.** *Let  $L$  be a universal set, and let  $F$  be some countable field such that  $\text{char } F = 0$  and  $F \not\cong \mathbf{Q}$ . Then the set of csp-rings  $R \subset K_L$  with  $R/T_L \cong F$  has cardinality  $\mathfrak{c}$  (all such rings are pairwise nonisomorphic).*

Some literature on cardinal characteristics of continuum:

T. Bartoszyński, H. Judah. *Set theory: on the structure of the real line*. Wellesley: A. K. Peters, 1995.

A. Blass. *Combinatorial cardinal characteristics of the continuum // Handbook of set theory*. Dordrecht et al.: Springer, 2010, p. 395–489.

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Thank you for your attention.

E. A. Timoshenko. *Base fields of csp-rings* // Algebra and Logic, 2010, **49**:4, p. 378–385.

E. A. Timoshenko. *Purely transcendental extensions of the field of rational numbers as base fields of csp-rings* // Tomsk State University Journal of Mathematics and Mechanics, 2013, **5(25)**, p. 30–39 (in Russian).

E. A. Timoshenko. *Base fields of csp-rings. II* // J. Math. Sci. (New York), 2018, **230**:3, p. 451–456.